

11. Urban Systems, Infrastructure, and Vulnerability

Convening Lead Authors

Susan L. Cutter, University of South Carolina
William Solecki, City University of New York

Lead Authors

Nancy Bragado, City of San Diego
JoAnn Carmin, Massachusetts Institute of Technology
Michail Fragkias, Boise State University
Matthias Ruth, University of Maryland
Thomas Wilbanks, Oak Ridge National Laboratory

Key Messages

- 1. Climate change and its impacts threaten the well-being of urban residents in all U.S. regions. Essential infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts. The nation's economy, security, and culture all depend on the resilience of urban infrastructure systems.**
- 2. In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.**
- 3. Climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.**
- 4. City government agencies and organizations have started adaptation plans that focus on infrastructure systems and public health. To be successful, these adaptation efforts require cooperative private sector and governmental activities, but face many barriers to implementing these combined efforts.**

Climate change poses a series of interrelated challenges to the country's most densely populated places: its cities. The U.S. is highly urbanized, with about 80% of its population living in cities and metropolitan areas. Many cities depend on infrastructure, like water and sewage systems, roads, bridges, and power plants, that is aging and in need of repair or replacement. Rising sea levels, storm surges, heat waves, and extreme weather events will compound these issues, stressing or even overwhelming these essential services.

Cities have become early responders to climate change challenges and opportunities due to two simple facts: First, urban areas have large and growing populations that are vulnerable for many reasons to climate variability and change; and second, cities depend on extensive infrastructure systems and the resources that support them. These systems are often connected to rural locations at great distances from urban centers.

1 The term infrastructure is used broadly and includes systems and assets that are essential for
2 national and economic security, national public health or safety, or to the overall well-being of
3 residents. These include energy, water and wastewater, transportation, public health, banking and
4 finance, telecommunications, food and agriculture, and information technology, among others.

5 Urban dwellers are particularly vulnerable to disruptions in essential infrastructure services, in
6 part because many of these infrastructure systems are reliant on each other. For example,
7 electricity is essential to multiple systems, and a failure in the electrical grid can affect water
8 treatment, transportation services, and public health. These infrastructure systems – lifelines to
9 millions – will continue to be affected by various climate-related events and processes.

10 As climate change impacts increase, climate-related events will have large consequences for
11 significant numbers of people living in cities or suburbs. Also at risk from climate change are
12 historic properties and sites as well as cultural resources and archeological sites. Vulnerability
13 assessments and adaptation planning efforts could also include these irreplaceable resources.
14 Changing conditions also create opportunities and challenges for urban climate adaptation (Ch.
15 28: Adaptation), and many cities have begun planning to address these changes.

16 *Urbanization and Infrastructure Systems*

17 **Climate change and its impacts threaten the well-being of urban residents in all U.S.**
18 **regions. Essential infrastructure systems such as water, energy supply, and transportation**
19 **will increasingly be compromised by interrelated climate change impacts. The nation’s**
20 **economy, security, and culture all depend on the resilience of urban infrastructure systems.**

21 Direct and interacting effects of climate change will expose people who live in cities across the
22 U.S. to multiple threats. Climate changes affect the built, natural, and social infrastructure of
23 cities, from storm drains to urban waterways to the capacity of emergency responders. Climate
24 change increases the risk, frequency, and intensity of certain extreme events like intense heat
25 waves, heavy downpours, flooding from intense precipitation and coastal storm surges, and
26 disease incidence related to temperature and precipitation changes. The vulnerability of urban
27 dwellers multiplies when the effects of climate change interact with pre-existing urban stressors,
28 such as deteriorating infrastructure, areas of intense poverty, and high population density.

29 Three fundamental conditions define the key connections among urban systems, residents, and
30 infrastructure.^{1,2} First, cities are dynamic, and are constantly being built and rebuilt through
31 cycles of investment and innovation. Second, infrastructure in many cities has exceeded its
32 design life and continues to age, resulting in an increasingly fragile system. At both local and
33 national levels, infrastructure requires ongoing maintenance and investment to avoid a decline in
34 service. Third, urban areas present tremendous social challenges, given widely divergent
35 socioeconomic conditions and dynamic residence patterns that vary in different parts of each
36 city. Heightened vulnerability of coastal cities and other metropolitan areas that are subject to
37 storm surge, flooding, or extreme climate events will exacerbate impacts on populations and
38 infrastructure systems.

39 Approximately 245 million people live in U.S. urban areas, a number expected to grow to 364
40 million by 2050.³ Paradoxically, as the economy and population of urban areas grew in past

1 decades, the built infrastructure within cities and connected to cities deteriorated, becoming
 2 increasingly fragile and deficient.^{1,2} Existing built infrastructure (such as buildings, energy,
 3 transportation, water, and sanitation systems) is expected to become more stressed in the next
 4 decades – especially when the impacts of climate change are added to the equation.⁴ As
 5 infrastructure is highly interdependent, failure in particular sectors is expected to have cascading
 6 effects on most aspects of affected urban economies. Further expansion of the U.S. urban
 7 landscape into suburban and exurban spaces is expected, and new climate adaptation and
 8 resiliency plans will need to account for this (Ch. 28: Adaptation).⁵ Significant increases in the
 9 costs of infrastructure investments also are expected as population density becomes more
 10 diffuse.⁶

11

Blackout in New York and New Jersey after Hurricane Sandy



12

13 **Figure 11.1:** Blackout in New York and New Jersey after Hurricane Sandy

14 **Caption:** Extreme weather events can affect multiple systems that provide services for
 15 millions of people in urban settings. The satellite images depict city lights on a normal
 16 night (left) and immediately following Hurricane Sandy (right). Approximately five
 17 million customers in the New York metropolitan region lost power. (Figure source:
 18 NASA Earth Observatory).

19 The vulnerability of different urban populations to hazards and risks associated with climate
 20 change depends on three characteristics: their exposure to particular stressors, their sensitivity to
 21 impacts, and their ability to adapt to changing conditions.^{7,8} Many major U.S. metropolitan areas,
 22 for example, are located on or near the coast and face higher exposure to particular climate
 23 impacts like sea level rise and storm surge, and thus may face complex and costly adaptation
 24 demands (Ch: 25: Coasts; Ch. 28: Adaptation). But as people begin to respond to new
 25 information about climate change through the urban development process, social and
 26 infrastructure vulnerabilities can be altered.⁹ For example, the City of New York conducted a
 27 comprehensive review of select building and construction codes and standards in response to
 28 increased climate change risk in order to identify adjustments that could be made to increase
 29 climate resilience. Climate-change stressors will bundle with other socioeconomic and
 30 engineering stressors already connected to urban and infrastructure systems.¹

1 ***Essential Services are Interdependent***

2 **In urban settings, climate-related disruptions of services in one infrastructure system will**
3 **almost always result in disruptions in one or more other infrastructure systems.**

4 Urban areas rely on links to multiple jurisdictions through a complex set of infrastructure
5 systems.¹⁰ For example, cities depend on other areas for supplies of food, materials, water,
6 energy, and other inputs, and surrounding areas are destinations for products, services, and
7 wastes from cities. If infrastructure and other connections among source areas and cities are
8 disrupted by climate change, then the dependent urban area also will be affected.¹¹ Moreover, the
9 economic base of an urban area depends on regional comparative advantage; therefore, if
10 competitors, markets, and/or trade flows are affected by climate change, a particular urban area
11 is also affected.²

12 Urban vulnerabilities to climate change impacts are directly related to clusters of supporting
13 resources and infrastructures located in other regions. For example, about half of the nation's oil
14 refineries are located in only four states.¹² Experience over the past decade with major
15 infrastructure disruptions, such as the 2011 San Diego Blackout, the 2003 Northeast Blackout,
16 and Hurricane Irene in 2011, has shown that the greatest losses from disruptive events may be
17 distant from where damages started.² In another example, Hurricane Katrina disrupted oil
18 terminal operations in southern Louisiana, not because of direct damage to port facilities, but
19 because workers could not reach work locations through surface transportation routes and could
20 not be housed locally because of disruption to potable water supplies, housing, and food
21 shipments.¹³

22 Although infrastructures and urban systems are often considered individually – for example,
23 transportation or water supply or wastewater/drainage – they are usually highly interactive and
24 interdependent.¹⁴

Urban Support Systems Are Interconnected

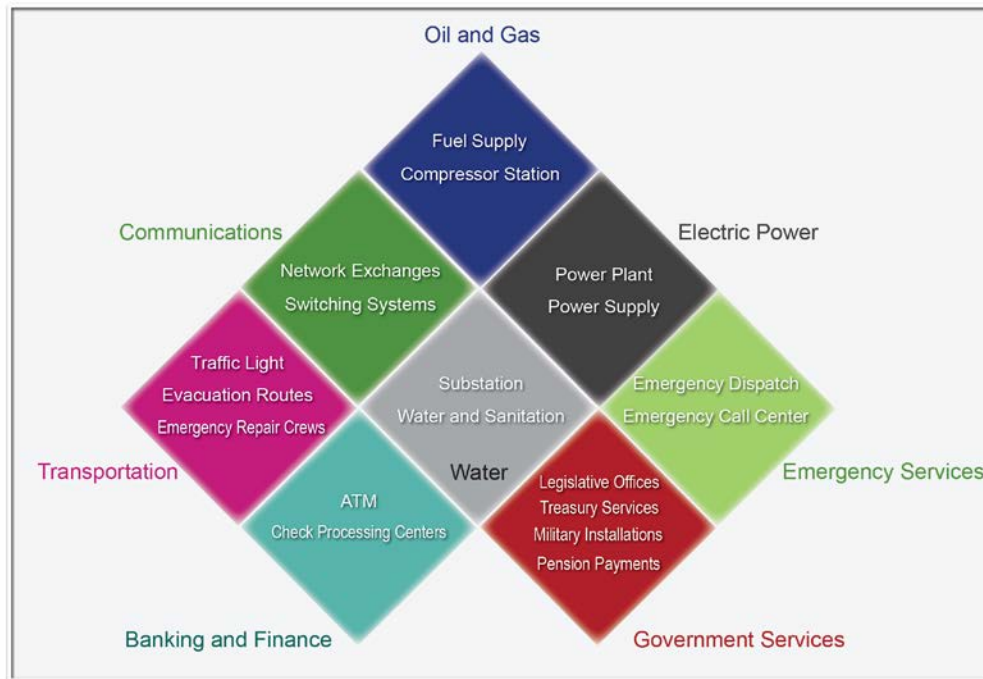


Figure 11.2: Urban Support Systems are Interconnected

Caption: In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other systems. When power supplies that serve urban areas are interrupted after a major weather event, for example, public health, transportation, and banking systems may all be affected. This schematic drawing illustrates some of these connections. (Figure source: adapted from Wilbanks et al. 2012²).

Such interdependencies can lead to cascading disruptions through urban infrastructures. These disruptions, in turn, can result in unexpected impacts on communication, water, and public health sectors, at least in the short term. On August 8, 2007, New York City experienced an intense rainfall and thunderstorm event during the morning commute, where between 1.4 and 3.5 inches of rain fell within two hours.¹⁵ The event started a cascade of transit system failures – eventually stranding 2.5 million riders, shutting down much of the subway system, and severely disrupting the city’s bus system.^{15,16} The storm’s impact was unprecedented and, coupled with two other major system disruptions that occurred in 2004 and 2007, became the impetus for a full-scale assessment and review of transit procedures and policy in response to climate change.^{15,16,17}

In August 2003, an electric power blackout that caused 50 million people in the U.S. Northeast and Midwest and Ontario, Canada, to lose electric power further illustrates the interdependencies of major infrastructure systems. The blackout caused significant indirect damage, such as shutdowns of water treatment plants and pumping stations. Other impacts included interruptions in communication systems for air travel and control systems for oil refineries. At a more local level, the lack of air conditioning and elevator access meant many urban residents were stranded

1 in over-heating high-rise apartments. Similar cascading impacts have been observed from
2 extreme weather events such as Hurricanes Katrina and Irene.² In fact, as urban infrastructures
3 become more interconnected and more complex, the likelihood of large-scale cascading impacts
4 will increase as risks to infrastructure increase.¹⁸

5 **Box: Hurricane Sandy: Urban Systems, Infrastructure, and Vulnerability**

6 Hurricane Sandy made landfall on the New Jersey shore just south of Atlantic City on October
7 29, 2012, and became one of the most damaging storms to strike the continental United States.
8 Sandy affected cities throughout the Atlantic seaboard, extending across the eastern U.S. to
9 Chicago, Illinois, where it generated 20-foot waves on Lake Michigan and flooded the city's
10 Lake Shore Drive. The storm's strength and resulting impact has been correlated with Atlantic
11 Ocean water temperatures near the coast that were roughly 5°F above normal, and with sea level
12 rise along the region's coastline as a result of a warming climate.

13 Sandy caused significant loss of life as well as tremendous destruction of property and critical
14 infrastructure. It disrupted daily life for millions of coastal zone residents across the New York-
15 New Jersey metropolitan area, despite this being one of the best disaster-prepared coastal regions
16 in the country. The death toll from Sandy in the metropolitan region exceeded 100, and the
17 damage was estimated to be at least 65 billion dollars.^{19,20} At its peak, the storm cut electrical
18 power to more than 8.5 million customers.²⁰

19 The death and injury, physical devastation, multi-day power, heat, and water outages, gasoline
20 shortages, and cascade of problems from Sandy's impact reveal what happens when the
21 complex, integrated systems upon which urban life depends are stressed and fail. One example is
22 what occurred after a Consolidated-Edison electricity distribution substation in lower Manhattan
23 ceased operation at approximately 9 PM Monday evening, when its flood protection barrier
24 (designed to be 1.5 feet above the 10-foot storm surge of record) was overtopped by Sandy's 14-
25 foot storm surge. As the substation stopped functioning, it immediately caused a system-wide
26 loss of power for more than 200,000 customers. Residents in numerous high-rise apartment
27 buildings were left without heat and lights, and also without elevator service and water (which
28 must be pumped to upper floors).

29 Sandy also highlighted the vast differences in vulnerabilities across the extended metropolitan
30 region. Communities and neighborhoods on the coast were most vulnerable to the physical
31 impact of the record storm surge. Many low- to moderate-income residents live in these areas
32 and suffered damage to or loss of their homes, leaving tens of thousands of people displaced or
33 homeless. As a specific sub-population, the elderly and infirm were highly vulnerable, especially
34 those living in the coastal evacuation zone and those on upper floors of apartment buildings left
35 without elevator service. These individuals had limited adaptive capacity because they could not
36 easily leave their residences.

37 Even with the extensive devastation, the effects of the storm would have been far worse if local
38 climate resilience strategies had not been in place. For example, the City of New York and the
39 Metropolitan Transportation Authority worked aggressively to protect life and property by
40 stopping the operation of the city's subway before the storm hit and moving the train cars out of
41 low-lying, flood-prone areas. At the height of the storm surge, all seven of the city's East River

1 subway tunnels flooded. Catastrophic loss of life would have resulted if there had been subway
2 trains operating in the tunnels when the storm struck. The storm also fostered vigorous debate
3 among local and state politicians, other decision-makers, and stakeholders about how best to
4 prepare the region for future storms. Planning is especially important given the expectation of
5 increases in flood frequency resulting from more numerous extreme precipitation events and
6 riverine and street level flooding, and coastal storm surge flooding associated with accelerated
7 sea level rise and more intense (yet not necessarily more numerous) tropical storms.

8 -- end box --

9 *Social Vulnerability and Human Well-Being*

10 **Climate vulnerability and adaptive capacity of urban residents and communities are**
11 **influenced by pronounced social inequalities that reflect age, ethnicity, gender, income,**
12 **health, and (dis)ability differences.**

13 “Social vulnerability” describes characteristics of populations that influence their capacity to
14 prepare for, respond to, and recover from hazards and disasters.^{21,22,23} Social vulnerability also
15 refers to the sensitivity of a population to climate change impacts and how different people or
16 groups are more or less vulnerable to those impacts.²⁴ Those characteristics that most often
17 influence differential impacts include socioeconomic status (wealth or poverty), age, gender,
18 special needs, race, and ethnicity.²⁵ Further, inequalities reflecting differences in gender, age,
19 wealth, class, ethnicity, health, and disabilities also influence coping and adaptive capacity,
20 especially to climate change and climate-sensitive hazards.²⁶

21 The urban elderly are particularly sensitive to heat waves. They are often physically frail, have
22 limited financial resources, and live in relative isolation in their apartments. They may not have
23 adequate cooling (or heating), or may be unable to temporarily relocate to cooling stations. This
24 combination led to a significant number of elderly deaths during the 1995 Chicago heat wave.²⁷
25 Similarly, the impacts of Hurricane Katrina in New Orleans illustrated profound differences
26 based on race, gender, and class where these social inequalities strongly influenced the capacity
27 of residents to prepare for and respond to the events.²⁸ It is difficult to assess the specific nature
28 of vulnerability for particular groups of people. Urban areas are not homogeneous in terms of the
29 social structures that influence inequalities. Also, the nature of the vulnerability is context
30 specific, with both temporal and geographic determinants, and these also vary between and
31 within urban areas.

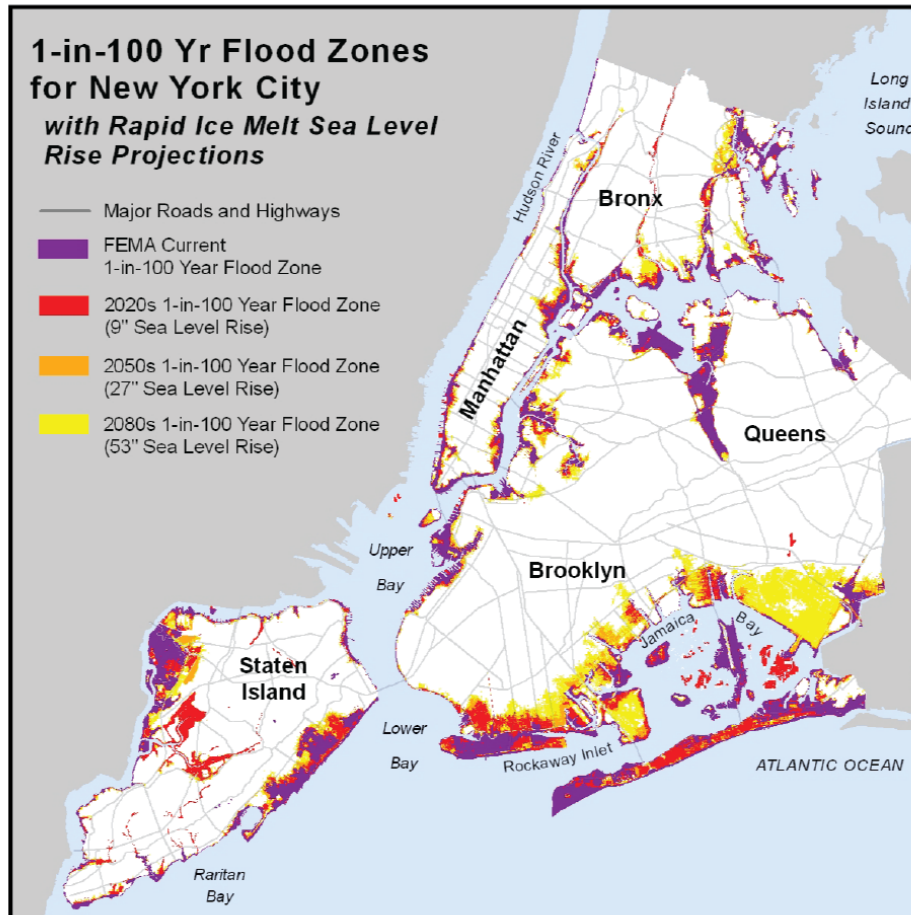
1 ***Trends in Urban Adaptation – Lessons from Current Adopters***

2 **City government agencies and organizations have started adaptation plans that focus on**
3 **infrastructure systems and public health. To be successful, these adaptation efforts require**
4 **cooperative private sector and governmental activities, but face many barriers to**
5 **implementing these combined efforts.**

6 City preparation efforts for climate change include planning for ways in which the infrastructure
7 systems and buildings, ecosystem and municipal services, and residents will be affected. In the
8 first large-scale analysis of U.S. cities, a 2011 survey showed that 58% of respondents are
9 moving forward on climate adaptation (Ch. 28: Adaptation), defined as any activity to address
10 impacts that climate change could have on a community. Cities are engaged in activities ranging
11 from education and outreach to assessment, planning, and implementation, with 48% reporting
12 that they are in the preliminary planning and discussion phases.²⁹

DRAFT

New York City and Sea Level Rise



1
2 **Figure 11.3:** New York City and Sea Level Rise

3 **Caption:** Map shows areas in New York’s five boroughs that are projected to face
4 increased flooding over the next 70 years, assuming an increased rate of sea level rise
5 from the past century’s average. As sea level rises, storm surges reach further inland.
6 Map does not represent precise flood boundaries, but illustrates projected increases in
7 areas flooded under various sea level rise scenarios. (Figure source: New York City Panel
8 on Climate Change 2013).

9 Cities either develop separate strategic adaptation plans^{29,30} or integrate adaptation into
10 community or general plans (as have Seattle, Washington; Portland, Oregon; Berkeley,
11 California; and Homer, Alaska) (Ch. 28: Adaptation).¹ Some climate action plans target certain
12 sectors like critical infrastructure,^{23,31} and these have been effective in diverse contexts ranging
13 from hazard mitigation and public-health planning to coastal-zone management and economic
14 development.

1 Cities have employed several strategies for managing adaptation efforts. For example, some
2 approaches to climate adaptation planning require both intra- and inter-governmental agency and
3 department coordination (see Box on “New York City Climate Action”) (Ch. 28: Adaptation).
4 As a result, many cities focus on sharing information and examining what aspects of government
5 operations will be affected by climate change impacts in order to gain support from municipal
6 agency stakeholders and other local officials.³² Some cities also have shared climate change
7 action experiences, both within the U.S. and internationally, as is the case with ongoing
8 communication between decision-makers in New York City and London, England.

9 National, state, and local policies play an important role in fostering and sustaining adaptation.
10 There are no national regulations specifically designed to promote urban adaptation. However,
11 existing federal policies, like the National Historic Preservation Act and National Environmental
12 Policy Act – particularly through its impact assessment provision and evaluation criteria process
13 – can provide incentives for adaptation strategies for managing federal property in urban
14 areas.^{1,33} In addition, recent activities of federal agencies focused on promoting adaptation and
15 resilience have been developed in partnership with cities like Miami and New York. (CEQ 2011)
16 Policies and planning measures at the local level, such as building codes, zoning regulations,
17 land-use plans, water supply management, green infrastructure initiatives, health care planning,
18 and disaster mitigation efforts, can support adaptation.^{1,2,34}

19 Engaging the public in adaptation planning and implementation has helped to inform and educate
20 the community at large about climate change, while ensuring that information and ideas flow
21 back to policymakers.³⁵ Engagement can also help in identifying vulnerable populations³⁶ and in
22 mobilizing people to encourage policy changes and take individual actions to reduce and adapt to
23 climate change.³⁷ For instance, the Cambridge Climate Emergency Congress selected a
24 demographically diverse group of resident delegates and engaged them in a deliberative process
25 intended to express preferences and generate recommendations to inform climate action.³⁸ In
26 addition, the Boston Climate Action Leadership Committee was initiated by the Mayor’s office
27 with the expectation that they would rely on public consultation to develop recommendations for
28 updating the city’s climate action plan.³⁹

29 There are many barriers to action at the city level. Proactive adaptation efforts require that
30 anticipated climate changes and impacts are evaluated and addressed in the course of the
31 planning process (Ch. 26: Decision Support; Ch. 28: Adaptation).⁴⁰ This means that climate
32 projections and impact assessment data must be available, but most U.S. cities are unable to
33 access suitable data or perform desired analyses.⁴¹ To address technical aspects of adaptation,
34 cities are promoting cooperation with local experts, such as the New York City Panel on Climate
35 Change, which brings together experts from academia and the public and private sectors to
36 consider how the region’s critical infrastructure will be affected by, and can be protected from,
37 future climate change.^{9,42} A further illustration comes from Chicago, where multi-departmental
38 groups are focusing on specific areas identified in Chicago’s Climate Action Plan.⁴³

1 Box: New York City Climate Action

2 New York City leaders recognized that climate change represents a serious threat to critical
3 infrastructure and responded with a comprehensive program to address climate change impacts
4 and increase resilience.^{1,2} The 2010 “Climate Change Adaptation in New York City: Building a
5 Risk Management Response” report was prepared by the New York City Panel on Climate
6 Change as a part of the City’s long-term sustainability plan.⁹ Major components of the process
7 and program include:

- 8 • establishing multiple participatory processes to obtain broad public input, including a
9 Climate Change Adaptation Task Force that included private and public stakeholders;⁴⁴
- 10 • forming an expert technical advisory body, the New York City Panel on Climate Change
11 (NPCC), to support the Task Force;
- 12 • developing a Climate Change Assessment and Action Plan that helps improve responses
13 to present-day climate variability as well as projected future conditions;
- 14 • defining “Climate Protection Levels” to address the effectiveness of current regulations
15 and design standards to respond to climate change impacts; and
- 16 • producing adaptation assessment guidelines that recognize the need for flexibility to
17 reassess and adjust strategies over time. The guidelines include a risk matrix and
18 prioritization framework intended to become integral parts of ongoing risk management
19 and agency operations.

20 -- end box --

21 Private sector involvement can be influential in promoting city-level adaptation (Ch. 28:
22 Adaptation). Many utilities, for example, have asset management programs that do address risk
23 and vulnerabilities, which could also serve to address climate change. Yet to date there are
24 limited examples of private sector interests working cooperatively with governments to limit
25 risk. Instances where cooperation has taken place include property insurance companies^{1,44} and
26 engineering firms that provide consulting services to cities. For example, firms providing
27 infrastructure system plans have begun to account for projected changes in precipitation in their
28 projects.⁴⁵ With city and regional infrastructure systems, recent attention has focused on the
29 potential role of private sector-generated smart technologies to improve early warning of extreme
30 precipitation and heat waves, as well as establishing information systems that can inform local
31 decision-makers about the status and efficiency of infrastructure.^{44,46}

32 Uncertainty, in both the climate system and modeling techniques, is often viewed as a barrier to
33 adaptation action (Ch. 28: Adaptation).⁴⁷ Urban and infrastructure managers, however, recognize
34 that understanding of sources and magnitude of future uncertainty will continue to be refined,³⁶
35 and that an incremental and flexible approach to planning that draws on both structural and
36 nonstructural measures is prudent.^{42,44,48} Gaining the commitment and support of local elected
37 officials for adaptation planning and implementation is another important challenge.²⁹ A
38 compounding problem is that cities and city administrators face a wide range of other stressors

1 demanding their attention, and have limited financial resources (See Box on “Advancing Climate
2 Adaptation in a Metropolitan Region”).⁴⁴

3 **Box: Advancing Climate Adaptation in a Metropolitan Region**

4 Coordinating efforts across many jurisdictional boundaries is a major challenge for adaptation
5 planning and practice in extended metropolitan regions and associated regional systems (Ch. 28:
6 Adaptation). Regional government institutions may be well suited to address this challenge, as
7 they cover a larger geographic scope than individual cities, and have potential to coordinate the
8 efforts of multiple jurisdictions.¹ California already requires metropolitan planning organizations
9 to prepare Sustainable Communities Strategies (SCS) as part of the Regional Transportation Plan
10 process.⁴⁹ While its focus is on reducing emissions, SCS plans prepared to date have also
11 introduced topics related to climate change impacts and adaptation.⁵⁰ Examples of climate
12 change vulnerabilities that could benefit from a regional perspective include water shortages,
13 transportation infrastructure maintenance, loss of native plant and animal species, and energy
14 demand.

15 -- end box --

16 Integrating climate change action in everyday city and infrastructure operations and governance
17 (referred to as “mainstreaming”) is an important planning and implementation tool for advancing
18 adaptation in cities (Ch. 28: Adaptation).^{42,44} By integrating climate-change considerations into
19 daily operations, these efforts can forestall the need to develop a new and isolated set of climate-
20 change specific policies or procedures (Foster et al. 2011). This strategy enables cities and other
21 government agencies to take advantage of existing funding sources and programs, and achieve
22 co-benefits in areas such as sustainability, public health, economic development, disaster
23 preparedness, and environmental justice. Pursuing low-cost, no-regrets options is a particularly
24 attractive short-term strategy for many cities.^{36,44}

25 Over the long term, responses to severe climate change impacts, such as sea level rise and greater
26 frequency and intensity of other climate-related hazards, are of a scale and complexity that will
27 likely require major expenditures and structural changes,^{1,44} especially in urban areas. When
28 major infrastructure decisions must be made in order to protect human lives and urban assets,
29 cities need access to the best available science, decision support tools, funding, and guidance.
30 The federal government is seen by local officials to have an important role here by providing
31 adaptation leadership and financial and technical resources, and by conducting and disseminating
32 research (Ch. 28: Adaptation).^{36,41,44}

Traceable Accounts

1
2
3
4
5
6
7
8
9
10

Chapter 11: Urban Systems, Infrastructure, and Vulnerability

Key Message Process: In developing key messages, the report author team engaged in multiple technical discussions via teleconference. A consensus process was used to determine the final set of key messages, which are supported by extensive evidence documented in two Technical Report Inputs to the National Climate Assessment on urban systems, infrastructure, and vulnerability: 1) *Climate Change and Infrastructure, Urban Systems, and Vulnerabilities: Technical Report for the U.S. Department of Energy in Support of the National Climate Assessment*², and 2) *U.S. Cities and Climate Change: Urban, Infrastructure, and Vulnerability Issues*.¹ Other Technical Input reports (56) on a wide range of topics were also received and reviewed as part of the Federal Register Notice solicitation for public input.

Key message #1/4	Climate change and its impacts threaten the well-being of urban residents in all U.S. regions. Essential infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts. The nation’s economy, security, and culture all depend on the resilience of urban infrastructure systems.
Description of evidence base	Recent studies have reported that population and economic growth have made urban infrastructure more fragile and deficient, ^{1,2} with work projecting increased stresses due to climate change ⁴ and increased costs of adaptation plans due to more extensive urban development. ⁶ Additionally, a few publications have assessed the main drivers of vulnerability ^{7,8} and the effects of the amalgamation of climate change stresses with other urban and infrastructure stressors. ¹
New information and remaining uncertainties	Given that population trends and infrastructure assessments are well established and documented, the largest uncertainties are associated with the rate and extent of potential climate change. Since the prior National Climate Assessment, ⁵¹ recent publications have explored the driving factors of vulnerability in urban systems ^{7,8} and the effects of the combined effect of climate change and existing urban stressors. ¹
Assessment of confidence based on evidence	Given the evidence base and remaining uncertainties, confidence is Very High that climate change and its impacts threaten the well-being of urban residents in all regions of the U.S. Given the evidence base and remaining uncertainties, confidence is Very High that essential local and regional infrastructure systems such as water, energy supply, and transportation will increasingly be compromised by interrelated climate change impacts.

11

CONFIDENCE LEVEL			
Very High	High	Medium	Low
Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

12

1 **Chapter 11: Urban Systems, Infrastructure, and Vulnerability**

2 **Key Message Process:** See key message #1.

Key message #2/4	In urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.
Description of evidence base	The interconnections among urban systems and infrastructures have been noted in the past ¹⁸ , with recent work expanding on this principle to assess the risk this interconnectivity poses. One study ¹⁴ explored the misconception of independent systems, and stressed instead the interactive and interdependent nature of systems. The effects of climate change on one system ultimately affect systems that are dependent upon it. ¹¹ One of the foundational Technical Input Reports examined the economic effects from climate change and how they will affect urban areas. ² Noted examples of this interconnectivity can be found in a number of publications concerning Hurricane Katrina, ¹³ intense weather in New York City, ^{15,16} and the vulnerability of U.S. oil refineries and electric power plants. ^{2,12}
New information and remaining uncertainties	Recent work has delved deeper into the interconnectivity of urban systems and infrastructure, ^{2,11} and has expressed the importance of understanding these interactions when adapting to climate change. The extensive number of infrastructure assessments has resulted in system interdependencies and cascade effects being well documented. Therefore, the most significant uncertainties are associated with the rate and extent of potential climate change.
Assessment of confidence based on evidence	Given the evidence base and remaining uncertainties, confidence is Very High that in urban settings, climate-related disruptions of services in one infrastructure system will almost always result in disruptions in one or more other infrastructure systems.

3

CONFIDENCE LEVEL			
Very High	High	Medium	Low
Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

4

1 **Chapter 11: Urban Systems, Infrastructure, and Vulnerability**

2 **Key Message Process:** See key message #1.

Key message #3/4	Climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.
Description of evidence base	The topic of social vulnerability has been extensively studied, ^{21,22,23} with some work detailing the social characteristics that are the most influential. ²⁵ More recent work has addressed the vulnerability of populations to climate change ²⁴ and how social inequalities influence capacity to adapt to climate change. ²⁶ Some empirical studies of U.S. urban areas were explored concerning these issues. ⁸
New information and remaining uncertainties	Given that population trends and socio-economic factors associated with vulnerability and adaptive capacity are well established and documented, the largest uncertainties are associated with the rate and extent of potential climate change. Recent work has addressed the social vulnerabilities to climate change at a more detailed level than in the past, ^{22,24} providing information on the constraints that social vulnerabilities can have on climate change adaptation.
Assessment of confidence based on evidence	Given the evidence base and remaining uncertainties, confidence is Very High that the climate vulnerability and adaptive capacity of urban residents and communities are influenced by pronounced social inequalities that reflect age, ethnicity, gender, income, health, and (dis)ability differences.

3

CONFIDENCE LEVEL			
Very High	High	Medium	Low
Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

4

1 **Chapter 11: Urban Systems, Infrastructure, and Vulnerability**

2 **Key Message Process:** See key message #1.

Key message #4/4	City government agencies and organizations have started adaptation plans that focus on infrastructure systems and public health. To be successful, these adaptation efforts require cooperative private sector and governmental activities, but face many barriers to implementing these combined efforts.
Description of evidence base	Urban adaptation is already underway with a number of cities developing plans at the city ^{29,30,31} and state levels, ²⁹ with some integrating adaptation into community plans ¹ and sharing information and assessing potential impacts. ³² Some recent publications have explored how incentives and administrative and financial support can benefit climate adaptation through policy planning at the local level ^{1,2,34} and by engaging the public. ^{35,36,37} Barriers exist that can hinder the adaptation process, which has been demonstrated through publications assessing the availability of scientific data ^{29,41} that is integral to the evaluation and planning process, ⁴⁰ uncertainty in the climate system and modeling techniques, ⁴⁷ and the challenges of gaining support and commitment from local officials. ^{29,44}
New information and remaining uncertainties	Besides uncertainties associated with the rate and extent of potential climate change, uncertainties emerge from the fact that, to date, there have been few extended case studies examining how U.S. cities are responding to climate change (<10 studies). Furthermore, only one large-scale survey of U.S. cities has been conducted for which results have been published and widely available. ²⁹
Assessment of confidence based on evidence	Given the evidence base and remaining uncertainties, confidence is Very High that city government agencies and organizations have started urban adaptation efforts that focus on infrastructure systems and public health.

3

CONFIDENCE LEVEL			
Very High	High	Medium	Low
Strong evidence (established theory, multiple sources, consistent results, well documented and accepted methods, etc.), high consensus	Moderate evidence (several sources, some consistency, methods vary and/or documentation limited, etc.), medium consensus	Suggestive evidence (a few sources, limited consistency, models incomplete, methods emerging, etc.), competing schools of thought	Inconclusive evidence (limited sources, extrapolations, inconsistent findings, poor documentation and/or methods not tested, etc.), disagreement or lack of opinions among experts

4

5

1 **References**

- 2 1. Solecki, W., and C. Rosenzweig, 2012: U.S. Cities and Climate Change: Urban, Infrastructure, and Vulnerability
3 Issues, Technical Input Report Series, U.S. National Climate Assessment. S. W., and C. Rosenzweig, Eds., U.S.
4 Global Change Research Program, Washington, D.C.
- 5 2. Wilbanks, T., S. Fernandez, G. Backus, P. Garcia, K. Jonietz, P. Kirshen, M. Savonis, B. Solecki, and L. Toole,
6 2012: Climate Change and Infrastructure, Urban Systems, and Vulnerabilities. Technical Report to the U.S.
7 Department of Energy in Support of the National Climate Assessment, 119 pp., Oak Ridge National
8 Laboratory. U.S Department of Energy, Office of Science, Oak Ridge, TN. [Available online at
9 <http://www.esd.ornl.gov/eess/Infrastructure.pdf>]
- 10 3. U.S. Census Bureau, cited 2012: National Population Projections. U.S. Census Bureau, U.S. Department of
11 Commerce. [Available online at <http://www.census.gov/population/projections/data/national/2008.html>];
12 —, cited 2012: United States Census 2010. U.S. Census Bureau,. [Available online at
13 <http://www.census.gov/2010census/>];
14 —, 2010: The Next Four Decades, The Older Population in the United States: 2010 to 2050, Population
15 Estimates and Projections, 16 pp., U.S. Department of Commerce, Economics and Statistics Division, U.S.
16 Census Bureau, , Washington, D.C. [Available online at [http://www.census.gov/prod/2010pubs/p25-
17 1138.pdf](http://www.census.gov/prod/2010pubs/p25-1138.pdf)]
- 18 4. McCrea, R., R. Stimson, and R. W. Marans, 2011: Ch. 3: The Evolution of Integrative Approaches to the
19 Analysis of Quality of Urban Life; Investigating Quality of Urban Life. *Investigating Quality of Urban Life:
20 Theory, Methods, and Empirical Research. Social Indicators Research Series, Volume 45*, R. W. Marans, and R.
21 J. Stimson, Eds., Springer Netherlands, 77-104
- 22 5. Jones, B., and B. C. O'Neill, 2013: Historically grounded spatial population projections for the continental
23 United States. *Environmental Research Letters*, **8**, 044021. [Available online at
24 http://iopscience.iop.org/1748-9326/8/4/044021/pdf/1748-9326_8_4_044021.pdf]
- 25 6. Burchell, R. W., G. Lowenstein, W. R. Dolphin, C. C. Galley, A. Downs, S. Seskin, K. G. Still, and T. Moore, 2002:
26 Costs of Sprawl 2000. Transit Cooperative Research Program Report 74. National Research Council,
27 Transportation Research Board, Washington, DC
- 28 7. Depietri, Y., F. Renaud, and G. Kallis, 2012: Heat waves and floods in urban areas: a policy-oriented review of
29 ecosystem services. *Sustainability Science*, **7**, 95-107, doi:10.1007/s11625-011-0142-4;
- 30 Douglas, E. M., P. H. Kirshen, M. Paolisso, C. Watson, J. Wiggin, A. Enrici, and M. Ruth, 2011: Coastal flooding,
31 climate change and environmental justice: identifying obstacles and incentives for adaptation in two
32 metropolitan Boston Massachusetts communities 1381-2386, 537-562 pp
- 33 8. Emrich, C. T., and S. L. Cutter, 2011: Social vulnerability to climate-sensitive hazards in the southern United
34 States. *Weather, Climate, and Society*, **3**, 193-208, doi:10.1175/2011WCAS1092.1. [Available online at
35 <http://journals.ametsoc.org/doi/pdf/10.1175/2011WCAS1092.1>]
- 36 9. NPCC, 2010: *Climate Change Adaptation in New York City: Building a Risk Management Response: New York
37 City Panel on Climate Change 2009 Report*. Vol. 1196, C. Rosenzweig, and W. Solecki, Eds. Blackwell
38 Publishing Inc, 328 pp
- 39 10. CCSP, 2008: Analyses of the Effects of Global Change on Human Health and Welfare and Human Systems. A
40 report by the U.S. Climate Change Science Program and the Subcommittee on Global Change Research. J. L.
41 Gamble, K. L. Ebi, A. E. Grambsch, F. G. Sussman, and T. J. Wilbanks, Eds., U.S. Climate Change Science
42 Program, U.S. Environmental Protection Agency, Washington, D.C.
- 43 11. Seto, K. C., A. Reenberg, C. G. Boone, M. Fragkias, D. Haase, T. Langanke, P. Marcotullio, D. K. Munroe, B.
44 Olah, and D. Simon, 2012: Urban land teleconnections and sustainability. *Proceedings of the National*

- 1 *Academy of Sciences of the United States of America*, **109**, 7687-7692, doi:10.1073/pnas.1117622109.
2 [Available online at <http://www.pnas.org/content/109/20/7687.full.pdf>]
- 3 12. Zimmerman, R., 2006: Ch. 34: Critical infrastructure and interdependency. *The McGraw-Hill Homeland*
4 *Security Handbook*, D. G. Kamien, Ed., McGraw-Hill, pp. 523-545
- 5 13. Myers, C. A., T. Slack, and J. Singelmann, 2008: Social vulnerability and migration in the wake of disaster: the
6 case of Hurricanes Katrina and Rita. *Population & Environment*, **29**, 271-291, doi:10.1007/s11111-008-0072-y
- 7 14. Kirshen, P., M. Ruth, and W. Anderson, 2008: Interdependencies of urban climate change impacts and
8 adaptation strategies: a case study of Metropolitan Boston USA. *Climatic Change*, **86**, 105-122,
9 doi:10.1007/s10584-007-9252-5
- 10 15. MTA, 2007: August 8, 2007 Storm Report, 115 pp., Metropolitan Transportation Authority, New York, New
11 York. [Available online at http://www.mta.info/mta/pdf/storm_report_2007.pdf]
- 12 16. Zimmerman, R., and C. Faris, 2010: Infrastructure impacts and adaptation challenges. *Annals of the New York*
13 *Academy of Sciences*, **1196**, 63-86, doi:10.1111/j.1749-6632.2009.05318.x
- 14 17. MTA, 2009: Greening Mass Transit & Metro Regions: The Final Report of the Blue Ribbon Commission on
15 Sustainability and the MTA, 93 pp. [Available online at
16 <http://www.mta.info/sustainability/pdf/SustRptFinal.pdf>]
- 17 18. Ellis, J., D. Fisher, T. Longstaff, L. Pesante, and R. Pethia, 1997: Protecting America's Infrastructures: The
18 Report of the President's Commission on Critical Infrastructure Protection. S. E. I. Carnegie Mellon University,
19 Ed., Washington, DC: The President's Commission on Critical Infrastructure Protection, Carnegie Mellon
20 University, Pittsburgh, PA
- 21 19. Blake, E. S., T. B. Kimberlain, R. J. Berg, J. P. Cangialosi, and J. L. Beven, II 2013: Tropical Cyclone Report:
22 Hurricane Sandy. (AL182012) 22 – 29 October 2012, 157 pp., National Oceanic and Atmospheric
23 Administration, National Hurricane Center [Available online at
24 http://www.nhc.noaa.gov/data/tcr/AL182012_Sandy.pdf]
- 25 20. City of New York, 2013: PlaNYC - A Stronger, More Resilient New York, 445 pp., New York City Special
26 Initiative for Rebuilding and Resiliency, New York, New York. [Available online at
27 <http://www.nyc.gov/html/sirr/html/report/report.shtml>]
- 28 21. Adger, W. N., 2006: Vulnerability. *Global Environmental Change*, **16**, 268-281,
29 doi:10.1016/j.gloenvcha.2006.02.006;
- 30 Laska, S., and B. H. Morrow, 2006: Social vulnerabilities and Hurricane Katrina: an unnatural disaster in New
31 Orleans. *Marine Technology Society Journal*, **40**, 16-26, doi:10.4031/002533206787353123
- 32 22. Cutter, S. L., B. J. Boruff, and W. L. Shirley, 2003: Social vulnerability to environmental hazards. *Social Science*
33 *Quarterly*, **84**, 242-261, doi:10.1111/1540-6237.8402002
- 34 23. Füssel, H. M., 2007: Vulnerability: a generally applicable conceptual framework for climate change research.
35 *Global Environmental Change*, **17**, 155-167, doi:10.1016/j.gloenvcha.2006.05.002
- 36 24. Cardona, O. D., M. K. van Aalst, J. Birkmann, M. Fordham, G. McGregor, R. Perez, R. S. Pulwarty, E. L. F.
37 Schipper, B. T. Sinh, I. Davis, K. L. Ebi, A. Lavell, R. Mechler, V. Murray, M. Pelling, J. Pohl, A. O. Smith, and F.
38 Thomalla, 2012: Ch. 2: Determinants of risk: exposure and vulnerability. *Managing the Risks of Extreme*
39 *Events and Disasters to Advance Climate Change Adaptation. A Special Report of Working Groups I and II of*
40 *the Intergovernmental Panel on Climate Change*, C. B. Field, V. Barros, T. F. Stocker, Q. Dahe, D. J. Dokken, K.
41 L. Ebi, M. D. Mastrandrea, K. J. Mach, G.-K. Plattner, S. K. Allen, M. Tignor, and P. M. Midgley, Eds., Cambridge
42 University Press, 65-108. [Available online at [https://www.ipcc.ch/pdf/special-](https://www.ipcc.ch/pdf/special-reports/srex/SREX_Full_Report.pdf)
43 [reports/srex/SREX_Full_Report.pdf](https://www.ipcc.ch/pdf/special-reports/srex/SREX_Full_Report.pdf)]

- 1 25. Bates, K. A., and R. S. Swan, 2007: *Through the Eye of Katrina: Social Justice in the United States*. Carolina
2 Academic Press;
- 3 NRC, 2006: *Facing Hazards and Disasters: Understanding Human Dimensions. Committee on Disaster
4 Research in the Social Sciences: Future Challenges and Opportunities*. National Research Council - Division on
5 Earth and Life Studies, National Academy Press.[Available online at www.nap.edu];
- 6 Phillips, B., L. Pike, A. Fothergill, and D. Thomas, Eds., 2010: *Social vulnerability to disasters*. Vol. 67, CRC Press
7 of the Taylor and Francis Group, 02 pp
- 8 26. Cutter, S., B. Osman-Elasha, J. Campbell, C. S-M., S. McCormick, R. Pulwarty, S. S., and Z. G., 2012: Ch. 5:
9 Managing the risks from climate extremes at the local level. *Managing the Risks of Extreme Events and
10 Disasters to Advance Climate Change Adaptation-A Special Report of the Intergovernmental Panel on Climate
11 Change*, C. B. Field, V. Barros, T. F. Stocker, D. Qin, D. J. Dokken, K. L. Ebi, M. D. Mastrandrea, K. J. Mach, G. K.
12 Plattner, and S. K. Allen, Eds., Cambridge University Press, Cambridge, United Kingdom/New York, NY, 291-
13 338
- 14 27. Klinenberg, E., 2003: *Heat Wave: A Social Autopsy of Disaster In Chicago*. University of Chicago Press, 328 pp
- 15 28. Brinkley, D., 2007: *The Great Deluge: Hurricane Katrina, New Orleans, and the Mississippi Gulf Coast*. Harper
16 Perennial, 768 pp;
- 17 Horne, J., 2008: *Breach of faith: Hurricane Katrina and the near death of a great American city*. Random
18 House Trade Paperbacks, 464 pp;
- 19 Weber, L., and L. Peek, 2012: *Displaced: Life in the Katrina Diaspora*. University of Texas Press
- 20 29. Carmin, J., N. Nadkarni, and C. Rhie, 2012: Progress and Challenges in Urban Climate Adaptation Planning:
21 Results of a Global Survey, 30 pp., Massachusetts Institute of Technology, ICLEI Local Governments for
22 Sustainability, Cambridge, MA. [Available online at
23 <http://web.mit.edu/jcarmin/www/urbanadapt/Urban%20Adaptation%20Report%20FINAL.pdf>]
- 24 30. Zimmerman, R. F., C., 2011: Climate change mitigation and adaptation in North American cities. *Current
25 Opinion in Environmental Sustainability*, **3**, 181-187, doi:10.1016/j.cosust.2010.12.004
- 26 31. City of Santa Cruz, 2012: Climate Adaptation Plan, 50 pp., The City of Santa Cruz, Santa Cruz, CA. [Available
27 online at www.cityofsantacruz.com/Modules/ShowDocument.aspx?documentid=23643];
- 28 Cooney, C. M., 2011: Preparing a people: climate change and public health. *Environmental Health
29 Perspectives*, **119**, 166-171;
- 30 Füssel, H. M., 2007: Adaptation planning for climate change: concepts, assessment approaches, and key
31 lessons. *Sustainability Science*, **2**, 265-275, doi:10.1007/s11625-007-0032-y;
- 32 Maibach, E. W., A. Chadwick, D. McBride, M. Chuk, K. L. Ebi, and J. Balbus, 2008: Climate change and local
33 public health in the United States: Preparedness, programs and perceptions of local public health department
34 directors. *PLoS ONE*, **3**, e2838, doi:10.1371/journal.pone.0002838
- 35 32. Moser, S. C., and J. A. Ekstrom, 2011: Taking ownership of climate change: participatory adaptation planning
36 in two local case studies from California. *Journal of Environmental Studies and Sciences*, **1**, 63-74,
37 doi:10.1007/s13412-011-0012-5. [Available online at
38 <http://link.springer.com/content/pdf/10.1007%2Fs13412-011-0012-5>]
- 39 33. USBR, 2011: Reclamation Managing Water in the West. SECURE Water Act Section 9503(c) - Reclamation
40 Climate Change and Water 2011. P. Alexander, L. Brekke, G. Davis, S. Gangopadhyay, K. Grantz, C. Hennig, C.
41 Jerla, D. Llewellyn, P. Miller, T. Pruitt, D. Raff, T. Scott, M. Tansey, and T. Turner, Eds., 226 pp., U.S.
42 Department of the Interior, Policy and Administration, U.S. Bureau of Reclamation, Denver, CO. [Available
43 online at <http://www.usbr.gov/climate/SECURE/docs/SECUREWaterReport.pdf>];

- 1 USFWS, 2010: Rising to the Urgent Challenge: Strategic Plan for Responding to Accelerating Climate Change,
2 32 pp., U.S. Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. [Available online at
3 <http://www.fws.gov/home/climatechange/pdf/CCStrategicPlan.pdf>]
- 4 34. Dodman, D., and D. Satterthwaite, 2008: Institutional capacity, climate change adaptation and the urban
5 poor. *IDS Bulletin*, **39**, 67-74, doi:10.1111/j.1759-5436.2008.tb00478.x
- 6 35. Carmin, J., D. Dodman, and E. Chu, 2011: Ch. 8: Engaging Stakeholders in Urban Climate Adaptation: Early
7 Lessons from Early Adapters *UGEC Viewpoints: Addressing Grand Challenges for Global Sustainability:
8 Monitoring Forecasting, and Governance of Urban Systems*, Urbanization and Global Environmental Change,
9 International Human Dimensions Programme on Global Environmental Change, and Arizona State University
10 Global Institute of Sustainability, 8-10. [Available online at
11 <http://www.ugec.org/docs/ViewpointsVI%20Nov2011.pdf>];
- 12 Van Aalst, M. K., T. Cannon, and I. Burton, 2008: Community level adaptation to climate change: the potential
13 role of participatory community risk assessment. *Global Environmental Change*, **18**, 165-179,
14 doi:10.1016/j.gloenvcha.2007.06.002
- 15 36. Foster, J., S. Winkelman, and A. Lowe, 2011: Lessons Learned on Local Climate Adaptation from the Urban
16 Leaders Adaptation Initiative, 23 pp., The Center for Clean Air Policy, Washington, D.C. [Available online at
17 http://www.ccap.org/docs/resources/988/Urban_Leaders_Lessons_Learned_FINAL.pdf]
- 18 37. Moser, S. C., 2009: Ch.14: Communicating climate change and motivating civic action: renewing, activating,
19 and building democracies. *Changing climates in North American politics: institutions, policymaking, and
20 multilevel governance*, H. Selin, and S. D. VanDeveer, Eds., MIT Press, 283-302. [Available online at
21 http://www.susannemoser.com/documents/Selin_Moser_Ch14_283-302_proof.pdf]
- 22 38. City of Cambridge, cited 2012: A Message from the Public Information Office. [Available online at
23 http://www2.cambridgema.gov/deptann.cfm?story_id=2457];
- 24 Fishkin, J. S., 1991: *Democracy and deliberation: New directions for democratic reform*. Yale University Press,
25 133 pp
- 26 39. City of Boston, 2010: Sparking Boston's Climate Revolution. Recommendations of the Climate Action
27 Leadership Committee and Community Advisory Committee, 53 pp., City of Boston Climate Action Leadership
28 Committee, Boston, MA. [Available online at
29 http://www.cityofboston.gov/Images/Documents/BCA_full_rprt_r5_tcm3-19558.pdf];
- 30 —, 2011: A Climate of Progress: City of Boston Climate Action Plan Update 2011, 43 pp, Boston, MA.
31 [Available online at http://www.cityofboston.gov/Images/Documents/A%20Climate%20of%20Progress%20-%20CAP%20Update%202011_tcm3-25020.pdf]
- 33 40. Hallegatte, S., and J. Corfee-Morlot, 2011: Understanding climate change impacts, vulnerability and
34 adaptation at city scale: an introduction. *Climatic Change*, **104**, 1-12, doi:10.1007/s10584-010-9981-8;
- 35 Howard, J., and G. Monbiot, 2009: Climate Change Mitigation and Adaptation in Developed Nations: A Critical
36 Perspective on the Adaptation Turn in Urban Climate Planning. *Planning for climate change: strategies for
37 mitigation and adaptation for spatial planners*, S. Davoudi, J. Crawford, and A. Mehmood, Eds., Earthscan, 19-
38 32
- 39 41. CEQ, 2011: Federal Actions for a Climate Resilient Nation: Progress Report of the Interagency Climate Change
40 Adaptation Task Force, 32 pp., The White House Council on Environmental Quality, Office of Science and
41 Technology Policy, Climate Change Adaptation Task Force, Washington, D.C. [Available online at
42 http://www.whitehouse.gov/sites/default/files/microsites/ceq/2011_adaptation_progress_report.pdf]
- 43 42. Rosenzweig, C. S., W.; Hammer, S.A.; Mehrotra, S., 2010: Cities lead the way in climate-change action. *Nature*,
44 **467**, 909-911, doi:10.1038/467909a. [Available online at
45 http://ccrun.org/sites/ccrun/files/attached_files/2010_Rosenzweig_etal_2.pdf]

- 1 43. City of Chicago, 2008: City of Chicago Climate Action Plan: Our City. Our Future, 57 pp. [Available online at
2 <http://www.chicagoclimateaction.org/filebin/pdf/finalreport/CCAPREPORTFINALv2.pdf>]
- 3 44. NRC, 2010: *Adapting to Impacts of Climate Change. America's Climate Choices: Report of the Panel on*
4 *Adapting to the Impacts of Climate Change*. The National Academies Press, 292 pp
- 5 45. van der Tak, L., P. Pasteris, L. Traynham, C. Salas, T. Ajello, and B. Emily, 2010: Storm Sewer Infrastructure
6 Planning with Climate Change Risk: The City of Alexandria Virginia Case Study. *Water Practice & Technology*,
7 **5**, doi:10.2166/wpt.2010.085
- 8 46. IBM News Room, 2009: IBM and Dubuque, Iowa Partner on Smarter City Initiative. IBM.
- 9 47. Corfee-Morlot, J., I. Cochran, S. Hallegatte, and P. J. Teasdale, 2011: Multilevel risk governance and urban
10 adaptation policy. *Climatic Change*, **104**, 169-197, doi:10.1007/s10584-010-9980-9;
- 11 Mastrandrea, M. D., N. E. Heller, T. L. Root, and S. H. Schneider, 2010: Bridging the gap: linking climate-
12 impacts research with adaptation planning and management. *Climatic Change*, **100**, 87-101,
13 doi:10.1007/s10584-010-9827-4
- 14 48. Carmin, J., and D. Dodman, 2012: Scientific certainty and uncertainty in urban climate adaptation planning.
15 *Successful Adaptation: Linking Science and Practice in Managing Climate Change Impacts*. , S. B. Moser, M.,
16 Ed., Routledge
- 17 49. California Senate, 2008: Sustainable Communities and Climate Protection Act of 2008, SB 375, California State
18 Senate. [Available online at [http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0351-
19 0400/sb_375_bill_20080930_chaptered.html](http://www.leginfo.ca.gov/pub/07-08/bill/sen/sb_0351-0400/sb_375_bill_20080930_chaptered.html)]
- 20 50. SACOG, 2012: Metropolitan Transportation Plan Sustainable Communities Strategy 2035, 243 pp.,
21 Sacramento Area Council of Governments, Sacramento, CA. [Available online at
22 <http://www.sacog.org/2035/files/MTP-SCS/Complete%20MTP-SCS%20no%20appendices.pdf>];
- 23 SANDAG, 2011: Ch. 3: Forging a Path Toward More Sustainable Living: Sustainable Communities Strategy.
24 *2050 Regional Transportation Plan*, S. D. A. o. Governments, Ed., San Diego Association of Governments, 3-2 -
25 3-82. [Available online at http://www.sandag.org/uploads/2050RTP/F2050rtp_all.pdf];
- 26 SCAG, 2012: Regional Transportation Plan 2012-2035 Sustainable Communities Strategy Towards a
27 Sustainable Future. S. C. A. o. Governments, Ed., 31-32 pp., Southern California Association of Governments,
28 Los Angeles, CA. [Available online at <http://rtpscscscag.ca.gov/Documents/2012/final/f2012RTPSCS.pdf>]
- 29 51. Karl, T. R., J. T. Melillo, and T. C. Peterson, Eds., 2009: *Global Climate Change Impacts in the United States*.
30 Cambridge University Press, 189 pp.[Available online at
31 <http://www.globalchange.gov/publications/reports/scientific-assessments/us-impacts>]
32